

**A Software Architecture for Autonomous Spacecraft**

by

Jimmy S. Shih

Submitted to the Department of Electrical Engineering and Computer Science  
in Partial Fulfillment of the Requirements for the Degree of  
Master of Engineering in Electrical Engineering and Computer Science  
at the Massachusetts Institute of Technology

May 1, 1997

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## **ABSTRACT**

The thesis objective is to design an autonomous spacecraft architecture to perform both deliberative and reactive behaviors. The Autonomous Small Planet In-situ Reaction to Events (ASPIRE) project uses the architecture to integrate several autonomous technologies for a comet orbiter mission. The architecture uses the deliberative path for performing deliberative behaviors and uses the three by-pass paths for performing reactive behaviors. The deliberative path subsumes the three by-pass paths when it has time to handle events. The three by-pass paths are used to provide faster response time. The ASPIRE project demonstrates that the deliberative path can handle all the deliberative behaviors required by the mission. The three by-pass paths are not implemented in the project. Finally, the thesis mentions several good architecture and implementation features.

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## **Preface and Acknowledgments**

I started my research in software architecture for autonomous spacecraft when I worked on the Autonomous Serendipitous Science Acquisition for Planets (AS SAP) project at the Jet Propulsion Laboratory (JPL) during the summer of 1995. The goal of the ASSAP project was to integrate autonomous technologies for missions to map large planets. I came back to JPL the following year to work on the Autonomous Small Planet In-situ Reaction to Events (ASPIRE) project, the follow-up project to ASSAP. The goal of the ASPIRE project was to integrate autonomous technologies for missions to orbit comets. My thesis was based on the software architecture I designed for the ASPIRE project.

I want to thank **Abdullah Aljabri**, the task lead for the AS SAP project, for getting me started in research on autonomous spacecraft architecture. I want to thank Tooraj Kia, the task lead for the ASPIRE project, for giving me the chance to design the ASPIRE architecture. I want to thank members of the AS SAP and ASPIRE teams for their valuable advice. Finally, I want to thank my advisors, Professor Patrick Winston and Professor Arvind, for supervising my thesis.

## List of Abbreviations

AFAST	Autonomous Feature and Star Tracker
ASPIRE	Autonomous Small Planet In-situ Reaction to Events
ASSAP	Autonomous Serendipitous Science Acquisition for Planets
DS 1	Deep Space 1
JPL	Jet Propulsion Laboratory
MIR	Mode Identification and Recovery
RAPS	Reactive Action Packages
TCA	Task Control Architecture

# **1 ASPIRE Project**

## **1.1 Thesis Objective**

The thesis objective is to design a spacecraft software architecture that can handle both deliberative and reactive behaviors. Autonomous spacecraft can use deliberative behaviors to achieve mission objectives and use reactive behaviors to deal with unexpected events. The hope is that the software architecture will be used as the framework to control future spacecraft.

Autonomous spacecraft can further our ability to explore space by reducing spacecraft operation cost and improving spacecraft response time. Autonomous spacecraft can reduce operation cost by automating deliberative behaviors onboard the spacecraft. Current spacecraft require many people and heavy usage of the Deep Space Network antennas to command them from the ground. Similarly, autonomous spacecraft can improve response time by conducting reactive behaviors onboard the spacecraft. Current spacecraft require telemetry **linkup** and long response time to command them from the ground. By reducing operation cost and improving response time, we can maintain more spacecraft and capture more scientific events.

## **1.2 ASPIRE Project Goal**

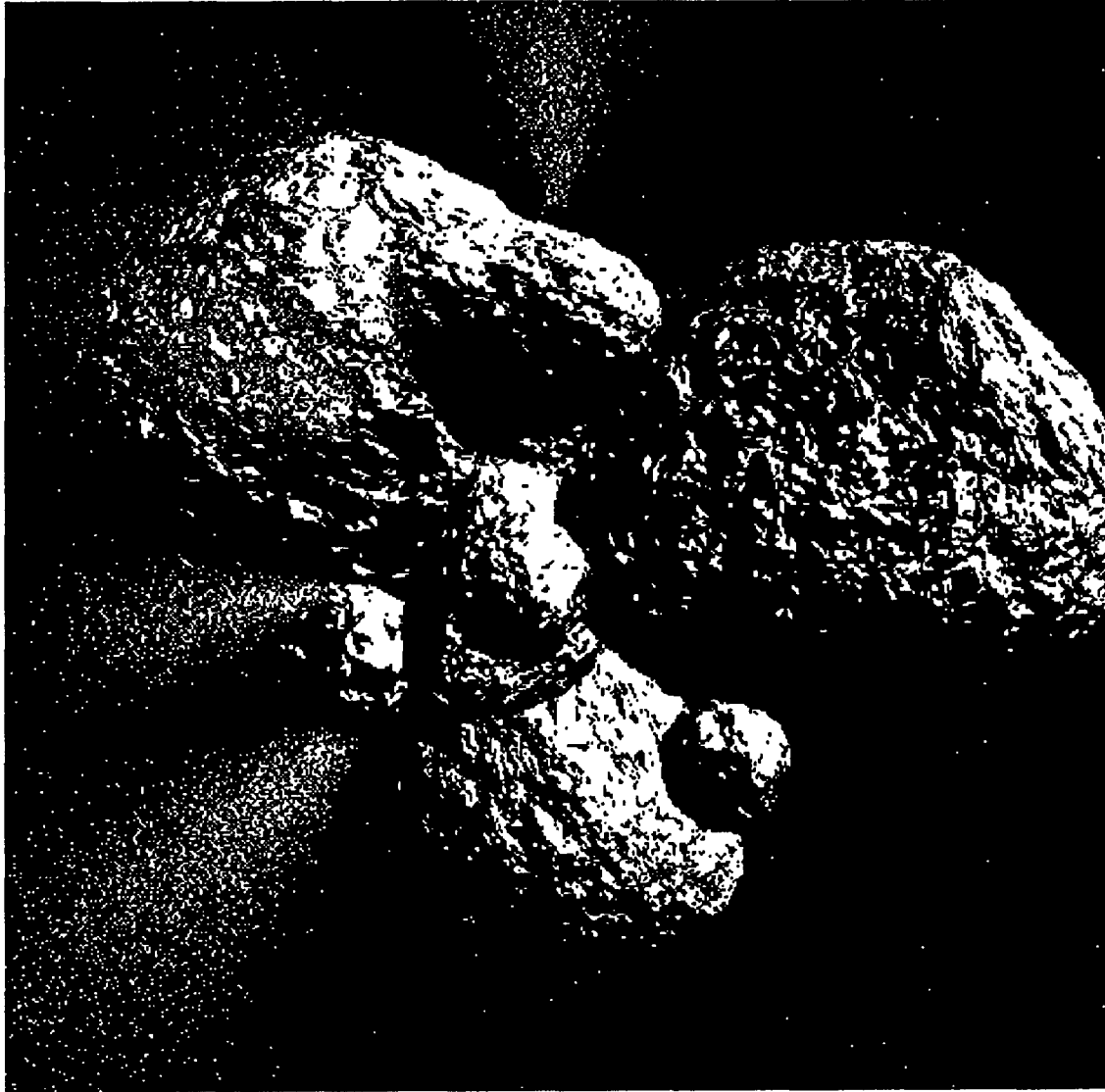
The Autonomous Small Planets In-situ Reaction to Events (ASPIRE) project goal is to integrate new technology modules, such as the science, tracking, planner, and navigation, to support the quick reaction mode of a comet orbiter mission. In the quick reaction mode, the science module detects and identifies interesting science targets. The tracking module detects and tracks ejected cometary fragments. The planner module generates plans for the spacecraft in response to the science generated targets. Finally, the navigation module produces plans to perform close flyby maneuvers around the comet. The ASPIRE project demonstrates in-situ science gathering triggered by several unpredictable environmental changes at the comet.

The ASPIRE project demonstrates several new autonomous spacecraft concepts. First, the project demonstrates that the ASPIRE architecture framework can be used to integrate all the autonomy technologies. Second, it demonstrates that the science module's change detection algorithm can detect sub-pixel level changes on the comet (Crippen 1992). Third, it demonstrates that the tracking module's Autonomous Feature and Star Tracker (AFAST) algorithm can detect and track ejected cometary fragments (Chu et al. 1994). Fourth, it demonstrates that the navigation module's close proximity algorithm can



perform close flyby maneuvers around the comet (Scheeres 1996). These are all essential technologies for spacecraft that want to orbit around the comet.

### **13 Mission Scenario**



**Figure 1 Comet Nucleus (created by William Lincoln 1996).**

The ASPIRE project uses a comet model and a spacecraft model to simulate the environment for the mission. The comet model, shown in figure 1, is 4 kilometers wide in diameter. We think a comet is made up of many loosely held cometary fragments that are remnants of the early solar system building blocks. Three types of events can happen on the comet, cracks, ejected fragments, and comet-splitting. Cracks happen when two cometary

fragments move against each other on the comet. Ejected fragments happen when cometary fragments are ejected from the comet. Comet-splitting happens when the comet breaks apart into several large pieces. The spacecraft model contains two cameras to observe the comet. The wide-field camera always points at the comet center. The narrow-field camera can be controlled to take fine resolution pictures and track ejected fragments. The comet model and the spacecraft model are used to demonstrate the onboard autonomy software.

The mission is to a near inactive comet. The spacecraft uses the wide-field camera to take pictures of the comet upon arrival. These pictures are processed on-board the spacecraft to construct the internal comet-model. After the spacecraft has generated the internal comet-model, it enters the quick reaction mode. The spacecraft initially enters an orbit, 20 kilometers from the comet, to take wide-field images. The science module receives these wide-field images and compares them with images taken in the past to detect sub-pixel level and pixel level changes like cracks and ejected fragments. The Science module informs the Planner module when it discovers interesting targets on the comet surface. The Planner will then direct the tracking module to use the narrow-field camera to track them. The Planner module also decides which targets on the comet surface to perform close flyby maneuvers over. A close flyby maneuver can place the spacecraft within 500 meters of the comet. After a close flyby maneuver, the spacecraft returns to an orbit around the comet to take more pictures and wait for the next close flyby command. When unexpected events happen, like comet-splitting, the spacecraft slowly maneuvers away to a safe distance of 100 kilometers from the comet to observe the events. The mission scenario tests all the autonomy algorithms by injecting various changes to the comet model at various times.

## **1.4 Road Map**

This thesis is organized into five chapters and one appendix. Chapter one describes the ASPIRE project. Chapter two provides background literature on autonomous robot and autonomous spacecraft architectures. Chapter three describes the ASPIRE architecture framework. Chapter four describes the ASPIRE architecture implementation. Finally, Chapter five concludes with some recommendations. The appendix provides further description of the ASPIRE architecture implementation.

## 2 Background Literature

### 2.1 Autonomous Robot Architectures

Autonomous robots and autonomous spacecraft are similar in many respects. Both need to perform complex tasks in uncertain environment with limited sensors, actuators, and power. Furthermore, both need to degrade gracefully when faults occur. Therefore, many good ideas use in designing architectures for autonomous robots can be used in designing architectures for autonomous spacecraft.

However, autonomous robots are different from autonomous spacecraft in four areas. First, autonomous robots are more self-sufficient than autonomous spacecraft. Autonomous robots are designed to perform tasks without additional support once they are placed in the environment. Autonomous spacecraft, on the other hand, are designed mainly to reduce ground control. Second, autonomous robots are less capable of interpreting sensor data than autonomous spacecraft (Pen et al. 1996). A zero reading from a robot's ground sensor can mean that the robot is approaching a hole, a slope, or a cliff. But, a measurement from a spacecraft's Inertial Reference Unit can determine spacecraft's exact attitude. Third, autonomous robots are better at handling faults than autonomous spacecraft (Pen et al. 1996). Autonomous robots can react to a fault by trying different actions without knowing the cause of the fault. Autonomous spacecraft, on the other hand, must view each fault as a failure and act according to the context and result of the fault. Fourth, autonomous robots are more prone to suffer transient failures than autonomous spacecraft (Pen et al. 1996). Failures occurring in autonomous robots are more likely to be temporary. In contrast, failures occurring in autonomous spacecraft are more likely to be permanent. Even though autonomous robots and autonomous spacecraft are similar in many areas, they do have several subtle differences.



**Figure 2 Four Distinct Autonomous Robot Architectures.**

Four people, James Albus, Reid Simmons, James Firby, and Rodney Brooks, have proposed four distinct architectures for controlling autonomous robots. Their architectures, shown in figure 2, differ in their emphases on performing deliberative and reactive behaviors. James Albus's architecture emphasizes on performing deliberative behaviors.

Reid Simmons's architecture emphasizes on performing deliberative behaviors, but contains mechanisms for performing reactive behaviors. In contrast, James Firby's architecture emphasizes on performing reactive behaviors, but contains mechanisms for performing deliberative behaviors. Finally, Rodney Brooks's architecture emphasizes solely on performing reactive behaviors. These four architectures provide four distinct philosophies for controlling autonomous robots.

### 2.1.1 James Albus's Architecture

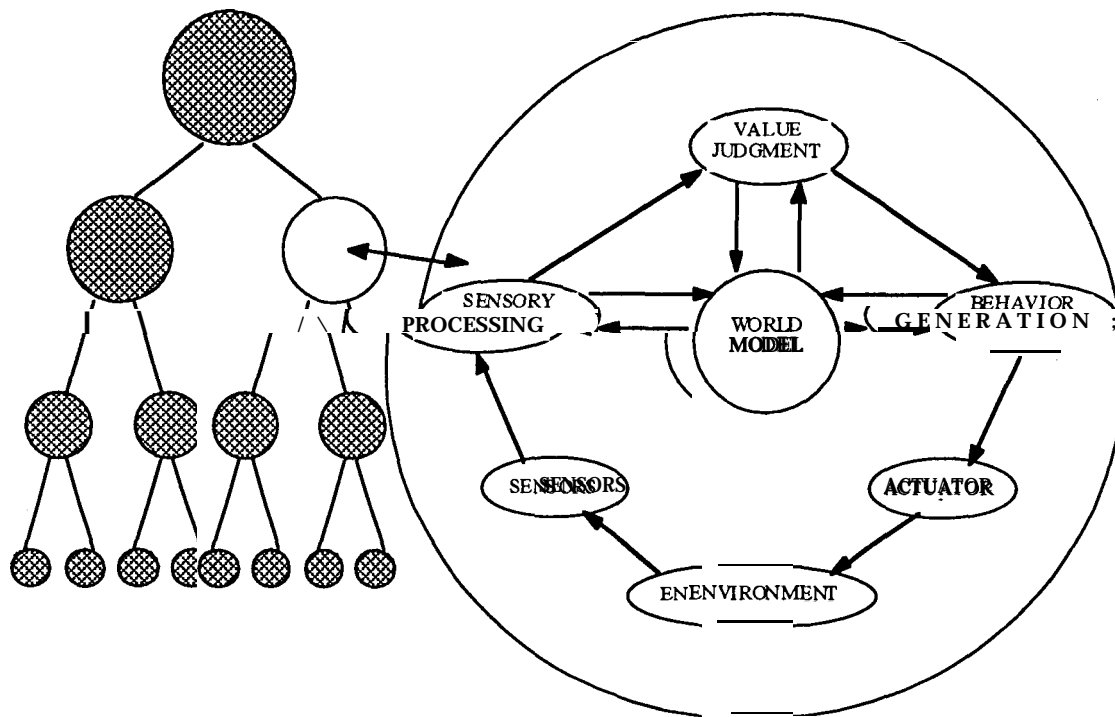


Figure 3 James Albus's Architecture (1991).

James Albus proposes an architecture for autonomous robots that emphasizes on performing deliberative behaviors. The architecture, shown in the left side of figure 3, is a hierarchy. From one hierarchy level down to the next lower hierarchy level, the time duration of interests for perception resolution, world modeling, goal planning, and control bandwidth decreases by an order of magnitude. For examples, the highest level only deals with monthly events. The next lower level **only** deals with daily events. And the next lower level only deals with hourly events and so forth. The architecture, shown in the right side of figure 3, uses six basic modules, Sensors, Sensory-Processing, World-Model, Value-Judgment, Behavior-Generation, and Actuators, to perform deliberative behaviors at each hierarchy level. The Sensor-Processing module receives inputs about the Environment from

the Sensors module. Information from the Sensor-Processing module are then stored in the World-Model module. The Value-Judgment module uses information in the World-Model module to issue appropriate high level commands. The Behavior-Generation module then decomposes high level commands from the Value-Judgment module **into** low level commands and executes them on the Environment using the Actuators module. The architecture only needs to perform deliberative behaviors because lower and lower levels of the hierarchy provide faster and faster response time to handle unexpected events (Albus 1991).

James Albus's reason for designing an architecture that emphasizes on performing deliberative behaviors is because he believes that deliberative behaviors can bring about learning better than reactive behaviors can. An architecture that emphasizes on deliberative behaviors can propagate new knowledge globally to all other modules through the World-Model module. But an architecture that emphasizes on reactive behaviors can only propagate new knowledge locally to neighboring modules. He believes that an architecture must have explicit knowledge representation and functionality to allow behaviors to become adaptive and creative (Albus 1991).

### 2.1.2 Reid Simmons's Architecture

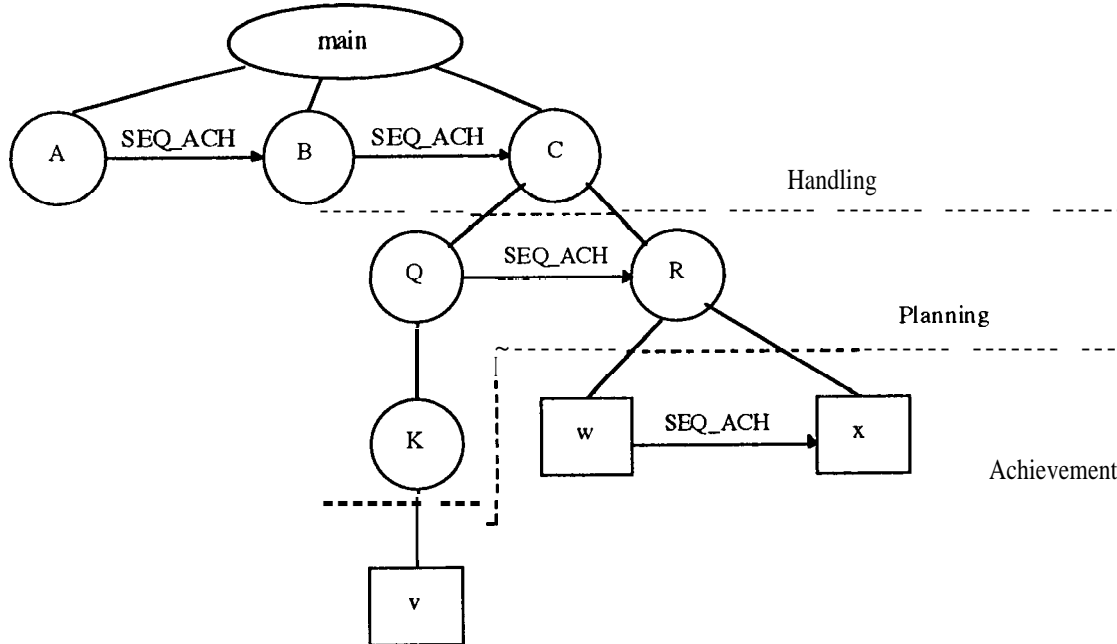


Figure 4 Reid Simmons's Architecture (Simmons et al. 1995).

Reid Simmons proposes an architecture to control autonomous robots that emphasizes on performing deliberative behaviors, but contains mechanisms for performing reactive behaviors. His architecture, shown in figure 4, is called the Task Control Architecture (TCA). TCA performs deliberative behaviors by representing high level commands, like commands A, B, and C in figure 4, as task trees. Each TCA task tree is decomposed by that task's functionalist  $y$ . For example, the root node of the task tree corresponds to the high level command. Children of the root node correspond to sub-tasks of the high level command. And task trees leaves correspond to low level commands for achieving the task. Different constraints, like SEQUENTIAL ACHIEVEMENT, can be placed between task tree nodes to achieve desire behavior between task handling, planning, and achievement. TCA contains two mechanisms, monitors and exception handlers, for performing reactive behaviors. During task tree execution, TCA can use monitors to detect unexpected events and use exception handlers to deal with them. TCA uses task-oriented approach to control robots. (Simmons 1994).

Reid Simmons's reason for designing an architecture that emphasizes on performing deliberative behaviors is because the "control of planning, perceptions, and action must be well-structured for general-purpose robots to succeed in rich and uncertain environment" (1994). TCA provides "mechanisms that can map directly from design decisions to methods of communication, task coordination, and reactivity" (Simmons 1994).

### 2.1.3 James Firby's Architecture

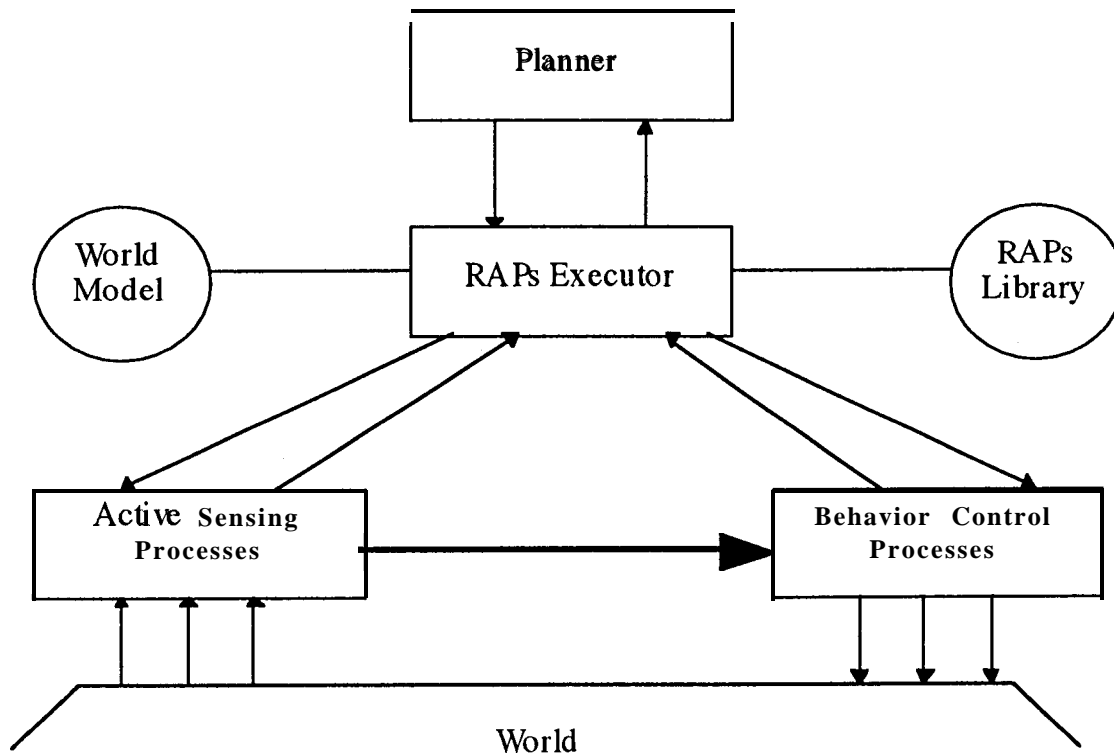


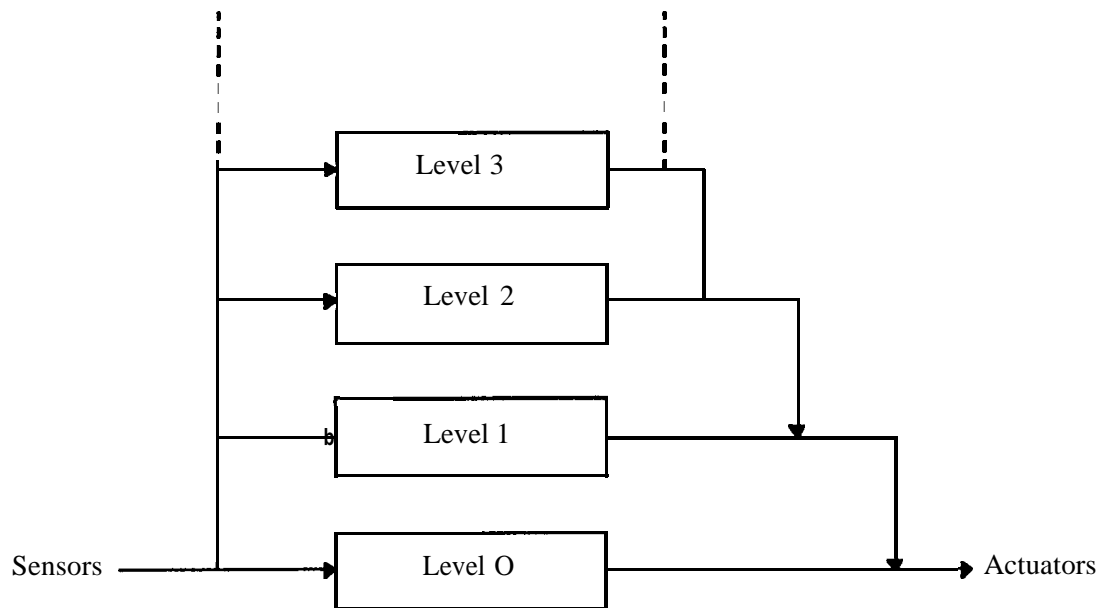
Figure 5 James Firby's Architecture (1994).

James Firby proposes an architecture for autonomous robots that emphasizes on performing reactive behaviors, but contains mechanisms for performing deliberative behaviors. The architecture, shown in figure 5, uses three layers to perform deliberative behaviors. The top layer, the Planner, provides sketchy plans for carrying out deliberative behaviors. The middle layer, the Reactive Action Packages (RAPs) Executor, takes sketchy plans and executes them using the Active-Sensing and Behavior-Control Processes. The bottom layer, the Active Sensing and Behavior-Control Processes, interfaces with the actual sensors and actuators. The key layer is the RAPs Executor, it performs reactive behaviors by muddling through sketchy plans and trying different methods to achieve a task. A sketchy plan consists of several tasks. Each task has several methods for achieving its objective. All unsatisfied tasks are placed in a queue. The RAPs Executor continuously executes the first task in the queue using one of that task's methods. After each execution, the RAPs Executor re-prioritizes tasks in the queue based on the current situation. The RAPs Executor can react to unexpected events by inserting high priority tasks into the queue so that they will be

executed next. The R4Ps Executor allows fast reaction without deliberation to achieve highly reactive behaviors (Firby 1989).

James Firby's reason for designing an architecture that emphasizes on performing reactive behaviors is because the Planner can **only** provide sketchy plans during planning. Details of the plans can only be fill in during execution time by reacting to the current situation (Firby 1989).

#### 2.1.4 Rodney Brooks's Architecture



**Figure 6 Rodney Brook's Architecture (1985).**

Rodney Brooks proposes an architecture to control autonomous robots that emphasizes solely on performing reactive behaviors. His architecture, shown in figure 6, is called the **Subsumption** architecture. The **Subsumption** architecture decomposes the control problem by behaviors rather than by functional units and organizes behaviors into several levels. The architecture has no centralized control, each behavior reacts on its own to the environment. But higher level behaviors can subsume lower level behaviors by blocking lower level **behaviors'** outputs. The architecture uses bottom-up approach to build lower level behaviors first before building higher level behaviors (Brooks 1985).

Rodney Brooks's reason for designing an architecture that emphasizes on reactive behaviors is because of robustness. Lower level behaviors of the Subsumption architecture can still function even if higher level behaviors fail. Organizing control by behaviors greatly



increases a robot's robustness. The Subsumption architecture also "stresses reactivity, concurrency, and real-time control" (Ferrell 1993).

## 2.2 Autonomous Spacecraft Architectures

The Jet Propulsion Laboratory (JPL) has been working on several projects to build autonomous spacecraft. Two recent JPL projects, the Autonomous Serendipitous Science Acquisition for Planets (ASSAP) and the Deep Space 1 (DS 1 ) projects, have made significant contribution in autonomous spacecraft architectures.

### 2.2.1 ASSAP Architecture

The ASSAP project goal is to demonstrate and integrate new spacecraft technologies for planet mapping missions. The ASSAP project uses the science module to detect surface features and commands the navigation module to take more pictures them. During the mission, the project demonstrates onboard navigation maneuvers like momentum dumping and drag-makeup maneuvers. The project also proposes several fault protection strategies for autonomous spacecraft. The ASSAP project demonstrates key autonomous spacecraft concepts like "autonomous task planning, sequencing, execution, and recovery from failures" (Aljabri et al. 1996).

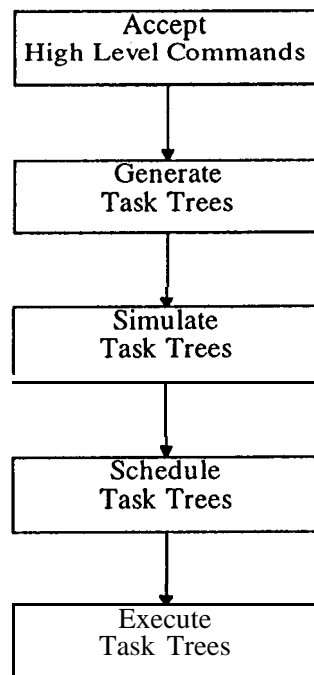
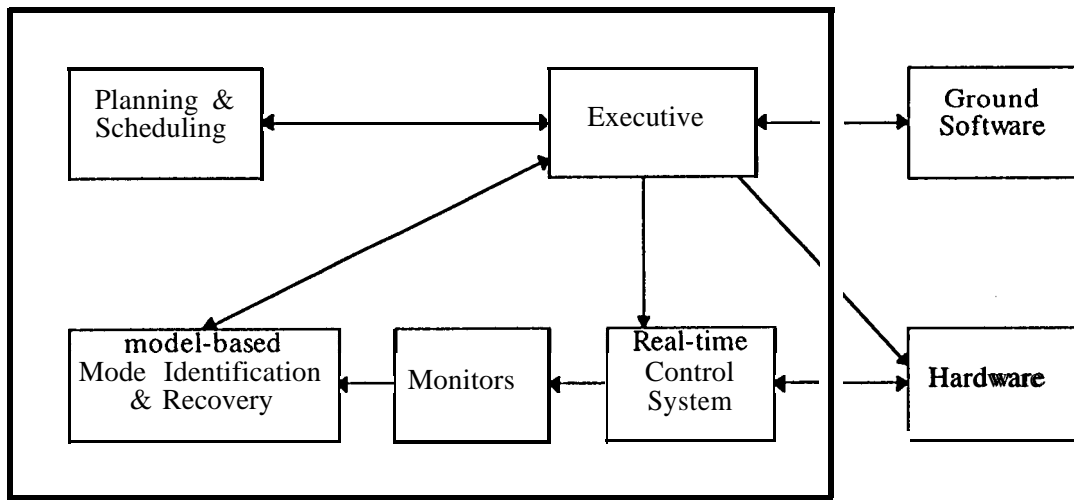


Figure 7 ASSAP Architecture.

The AS SAP architecture uses Reid Simmons's TCA to control spacecraft. The architecture consists of five stages, shown in figure 7 (Shih 1995). First, it accepts high level commands from a Planner. Second, it generates TCA task trees to accomplish these commands. Third, it simulates these TCA task trees to validate them. Fourth, it schedules TCA task trees based on their resource requirements. Finally, it uses TCA task management to execute task trees. The ASSAP project shows that TCA is very useful for controlling autonomous spacecraft.

### 2.2.2 DS1 Architecture

The state-of-the-art software architecture for autonomous spacecraft is the DS 1 architecture. The DS 1 spacecraft is scheduled to be launched in 1998 to validate **onboard** autonomous control of spacecraft. The architecture, designed by Pen et al., can support six activities that are usually done on the ground. They are "planning activities, sequencing spacecraft actions, tracking spacecraft state, ensuring correct functioning, recovering in cases of failures, and reconfiguring hardware". Their architecture "integrates traditional real-time monitoring and control with constraint-based planning and scheduling, robust **multi**-threaded execution, and model-based diagnosis and reconfiguration" (Pen et al. 1996).



**Figure 8 DS1 Architecture (Pen et al. 1996).**

The DS 1 architecture, shown in figure 8, consists of five modules. They are the Planning-and-Scheduling, Executive, Real-time-Control-System, Monitors, and **model-based-Mode-Identification-and-Recovery (MIR)** modules. The Planning-and-scheduling module provides high level plans to the Executive module. The Executive module uses task trees to connect domain specific modules like the navigation and guidance-control modules to carry out high level plans. The Executive module then uses Real-time-Control-System

module to execute task trees on the Hardware. The Monitors and MIR modules are used to support reactive behaviors. The Monitors module detects faults and the MIR module produces recovery procedures for them. The DS 1 architecture uses many ideas in Reid Simmons and James **Firby's** architectures to control autonomous spacecraft.

Pen et al. mention that the main drawback of their architecture is lack of a consistent knowledge database. The Planning-and-Scheduling, Executive, and MIR modules **all** have their own database where they store information about the world. Therefore, the architecture can have three different views of the world (Pen et al. 1996).

## **3 ASPIRE Architecture Framework**

### **3.1 Spacecraft Requirements**

Pen et al. mention six design requirements for autonomous spacecraft. First, autonomous spacecraft need to meet hard deadlines. Missing the time frame for maneuvers like orbit insertion may jeopardize spacecraft health or may expand unnecessary fuel. Therefore, spacecraft need to define a global timing concept to meet hard deadlines. Second, autonomous spacecraft have tight resource constraints. All science instruments may have to be turned off during engine firing to conserve power usage. Hence, spacecraft need to share resources and use them efficiently. Third, autonomous spacecraft have limited observability. Each sensor adds weight, so only sensors that have clear value are placed on the spacecraft. As the result, spacecraft should maximize utilization of all available sensor information when making decisions. Fourth, autonomous spacecraft need to perform concurrent activities. Multiple events may require spacecraft's attention at the same time. Therefore, spacecraft need to handle them concurrently. Fifth, autonomous spacecraft need to support long operation periods. With many spacecraft exploring the solar system, the Deep Space Network antennas can only communicate with each spacecraft for a small time period. Hence, spacecraft need to degrade gracefully when faults occur between ground communications. Sixth, autonomous spacecraft need to have high reliability. All spacecraft components must be extremely reliable. As the result, spacecraft need to use additional software and hardware to achieve high reliability. These six spacecraft requirements are important for designing autonomous spacecraft architectures (1996).

### **3.2 Design Philosophy**

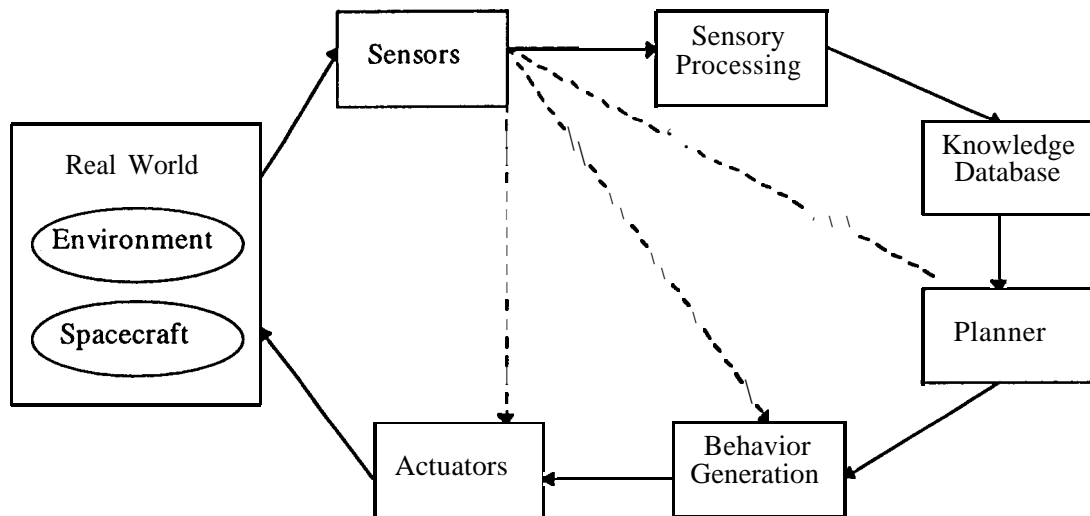
Of the four architecture philosophies in chapter 2, Reid Simmons's task-orientated architecture that emphasizes on performing deliberative behaviors with added mechanisms for performing reactive behaviors is the most appropriate philosophy for controlling autonomous spacecraft. An architecture that emphasizes on performing deliberative behaviors can handle hard deadlines, tight resource constraints, limited observability, and concurrent activities requirements. Added mechanisms for performing reactive behaviors can support long operation periods and high reliability requirements. Therefore, Reid Simmons's design philosophy can meet all six spacecraft requirements in section 3.1.

A deliberative architecture is more appropriate for controlling autonomous spacecraft than a reactive architecture. Most of the time, spacecraft are placed in predictable environments conducting predictable behaviors. Rarely do they need fast response time to handle unexpected events. Reactive architectures like James Firby's RAPs and Rodney

Brook's Subsumption architecture achieve fast response time by assuming execution without context has no bad consequences. But, muddling through sketchy plans in RAPs or using highly reactive behaviors in Subsumption architecture can cause spacecraft to miss hard deadlines or waste resources. Hartley and Pipitone also point out further problems with the Subsumption architecture that makes it hard to control complexity ( 1991). Therefore a deliberative architecture is more appropriate for controlling autonomous spacecraft than a reactive architecture.

A task-oriented deliberative architecture like Reid Simmons's TCA is more appropriate for controlling autonomous spacecraft than a time-oriented deliberative architecture like James Albus's architecture (Simmons 1994). Organizing an architecture by task's functionalist y allows easier reasoning and implementation. Therefore, the most appropriate architecture philosophy for autonomous spacecraft **is a task-oriented** architecture that emphasizes on performing deliberative behaviors with added mechanisms for performing reactive behaviors.

### 3.3 Architecture Framework



**Figure 9 ASPIRE Architecture Framework.**

The ASPIRE architecture framework, shown in figure 9, consists of a deliberative path and three by-pass paths. The deliberative path is used for conducting deliberative behaviors. The three by-pass paths are added for conducting reactive behaviors. The deliberative path is similar to James Albus's hierarchy node architecture. But with the three by-pass paths, the architecture framework looks similar to Rodney Brook's Subsumption architecture.

### 3.3.1 Deliberative Path

The deliberative path consists of the six modules shown in figure 9. They are the Sensors, Sensory-Processing, Knowledge-Database, Planner, Behavior-Generation, and Actuators modules. First, the Sensors module provides data about the Real-World to the Sensory-Processing module. The Sensory-Processing module then interprets data and stores them in the Knowledge-Database module. **All** the modules can access the information in the Knowledge-Database module. Next, the Planner module generates high level commands and stores them in an execution queue. When appropriate times come, high level commands in the execution queue are sent to the Behavior-Generation module. The Behavior-Generation module then uses **pre-planned** task trees to generate task trees for carrying out high level commands. Finally, the Behavior-Generation module executes task trees' low level commands on the Real-World using the Actuators module. The deliberative path reacts to unexpected events by having the Planner module **re-prioritizes** the tasks in the execution queue. In summary, the deliberative path can handle **all** the events that are sent to the Planner module.

### 3.3.2 Three By-Pass Paths

The three by-pass paths, shown in figure 9, are added for performing reactive behaviors. The first by-pass path connects the Sensors module directly with the Actuators module. The second by-pass path connects the Sensors module with the Behavior-Generation module. The third by-pass path connects the Sensors module with the Planner module. With the three by-pass paths, the architecture framework can be viewed as a Subsumption architecture. The first by-pass path can be viewed as the first level of the Subsumption Architecture, The second by-path path can be viewed as the second level. The third by-pass path can be viewed as the third level. And the deliberative path can be viewed as the fourth level. Each higher level path provides more deliberation, but slower response time between the Sensors module and the Actuators module. Higher level paths of the architecture can subsume lower level paths when they have time to handle events.

The first by-pass path connects the data from the Sensors module directly to the Actuators module. It allows the Actuators module to immediately react to unexpected events. This path's goal is to ensure survival by providing fast reaction without deliberation. Thus, the first by-path skips all the software modules to provide immediate control of the spacecraft.

The second by-pass path connects the data from the Sensors module to the Behavior-Generation module. It allows the Behavior-Generation module to interrupt the current task execution. Interrupts can only occur between the low-level commands sent to the Actuators module. When an interrupt occurs, all planning for the current task execution are discarded. A clean-up task tree is first executed to halt the current task execution. Then

an emergency task tree is executed to deal with the interrupt. Afterwards, a **re-planning** task tree is executed to resume the interrupted task. The second by-paths skips the Planner module to provide fast control of the spacecraft.

The third by-pass path connects the data from the Sensors module to the Planner module. It allows the Planner module to abort the current command and issue a new command. Aborting the current command takes more time than interrupting the current task execution because of the need to perform a complete clean-up. The third by-path skips the Sensory-Processing module to provide quick control of the spacecraft.

### 3.3.3 Illustrations

The deliberative path can support all types of deliberative behaviors for autonomous spacecraft. The Sensory-Processing module can detect surface features, surface changes, and ejected fragments. The Sensory-Processing module can also estimate spacecraft position, spacecraft state, and internal comet model. Similarly, the Behavior-Generation module can execute momentum dumping, drag-makeup, orbiting, and close flyby maneuvers. The Behavior-Generation module can also track ejected fragments and surface targets. The deliberative path can perform all the deliberative behaviors needed by the ASPIRE project.

The three by-pass paths can provide faster response time for the spacecraft. For example, when a cometary fragment is approaching the spacecraft, the deliberative path can plan a maneuver to move away. But if faster response time is needed, the third by-pass path can abort the current command and execute an escape maneuver. If faster response time is needed, the second by-pass path can interrupt the current task execution and perform an escape maneuver. If a very fast response is needed, the first by-pass path can control the thrusters to move the spacecraft away. Another example is when the thrusters are not working correctly during a bum, The deliberative path can adapt high level commands to avoid the faulty thrusters. If faster response time is needed, the third by-pass path can abort the current bum, perform the thruster shut-off sequence, and analyze the problem immediately. If faster response time is needed, the second by-pass path can interrupt the bum, turn off the faulty thrusters, reconfigure the backup thrusters, recalculate the needed maneuver, and resume the bum. If a very fast response is needed, the first by-pass path can shut off the faulty thrusters immediately. The three by-pass paths can provide faster response time for dealing with unexpected events.

## 3.4 Evaluation

This architecture framework for autonomous spacecraft has seven good design features. First, the framework integrates deliberative behaviors with reactive behaviors. It uses the deliberative path to perform a task when there is enough time and uses the three by-pass paths when faster response time is needed. Second, the framework separates planning

from real-time control. It uses the Planner module to issue high level commands and uses the Behavior-Generation module to execute them. Third, the framework provides one consistent view of the Real-World to all the software modules. It uses a common database for storing all the information about the Real-World. Fourth, the framework provides **fault**-protection. It uses the deliberative path and the three by-pass paths to increase robustness between the Sensor module and the Actuator module. Fifth, the framework contains only stateless task trees. It uses the Knowledge-Database module to store all the needed state information required by task tree execution. Sixth, the framework demonstrates using additional software to improve existing capabilities. It uses the three by-pass paths to improve the response time of the deliberative path. Seventh, the framework uses task trees to interface with the spacecraft hardware. It uses TCA task trees to represent and execute high level commands from the Planner module. These seven design features are very useful for designing autonomous spacecraft architecture.

### 3.5 Issues

There are two issues with the architecture framework. The first issue is whether there should be other by-pass paths. For example, a by-pass path connecting the Sensory-Processing module to the Behavior-Generation module will give the Sensor-Processing module direct control of the Behavior-Generation module. The second issue is whether there should be feedback paths. For example, a feedback path from the Behavior-Generation module back to the Planner module will provide the Planner module direct feedback from the Behavior-Generation module. Adding more by-pass and feedback paths will make the architecture look more reactive.

### 3.6 Future Work

The architecture framework needs more work in five areas. First, the framework needs to define how high level paths can subsume lower **level** paths. The higher level paths need to take control when they can react to an event and relinquish control when they cannot. Second the framework needs to define how to handle prioritization in each by-pass path. Prioritization in each by-pass paths is needed for dealing with multiple aborts and nested interrupts. Third, the framework needs to make the Knowledge-Database very reliable. The Knowledge-Database module must be reliable because all the software modules use it. Fourth, the framework needs a sensory processing module to detect faults. The **Cassini** spacecraft uses a rule-based system for fault detection and the DS 1 spacecraft uses a model-based system for fault detection (Pen et al. 1996). Fifth, the framework needs to a behavior generation module to produce fault recovery plans. Different mechanisms are needed for handling software and hardware faults. These five **areas** need to be solved before the architecture framework can be made flight ready.



## 4 ASPIRE Implementation

### 4.1 Overview

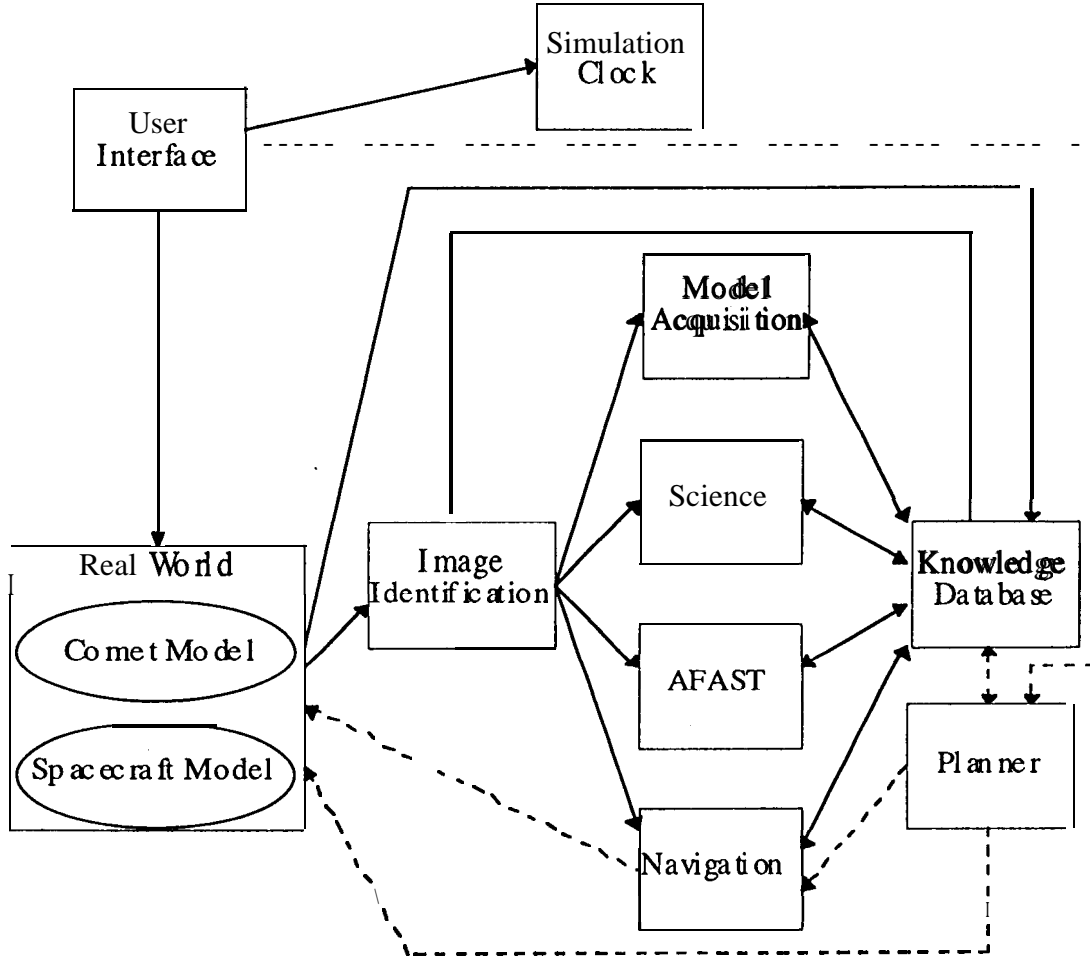


Figure 10 ASPIRE Implementation.

The ASPIRE implementation is based on the architecture framework discussed in chapter 3 of using the deliberative path for performing deliberative behaviors and using the three by-pass paths for performing reactive behaviors. The deliberative path, shown in figure 10, consists of ten modules. They are the Real-World, Image-Identification, Model-Acquisition, Science, APAST, Navigation, Knowledge-Database, Planner, Simulation-Clock, and User-Interface modules. TCA messages are used for communication between modules. TCA task trees are used to represent and execute Planner's high level commands. The three

by-pass paths are not implemented. The deliberative path can support all the deliberative behaviors required by the ASPIRE project.

The deliberative path consists of two parts, sensing and acting. The goal of the sensing part is to gather information about the Real-World module's comet and spacecraft models. The Real-World module outputs camera images to the Image-Identification module for processing. The Image-Identification module determines locations on the comet for these images using the Knowledge-Database module's internal comet model. Afterwards, the Image-Identification module outputs identified images to the Model-Acquisition, Science, AFAST, and Navigation modules. The Model-Acquisition module uses these images to update the Knowledge-Database module's internal comet model. The Science module uses these images to detect sub-pixel **level** movement. The AFAST module uses these images to detect pixel level movement. Finally, the Navigation module uses these images to estimate spacecraft position. All information from these four sensory processing modules are stored in the Knowledge-Database module. The Knowledge-Database module also receives information about the camera position from the Real-World module's spacecraft model. The information stored in the Knowledge-Database can be accessed by all the modules. The sensing part of the deliberative path uses many sensing algorithms to sense the environment.

The goal of the acting part is to control the spacecraft to capture scientific events. The Planner module can query the Knowledge-Database module for a list of interesting targets. The Planner module can command the Real-World module to track these targets using the narrow-field camera. The Planner module can also command the Navigation module to produce plans for performing close flyby maneuvers over them. Commands and plans from the Planner and Navigation modules are executed on the Real-World module's spacecraft model. The acting part of the deliberative path uses many control algorithms to control the spacecraft.

The Simulation-Clock and User-Interface modules are used to support the software simulation. The Simulation-Clock simulates the clock on-board the spacecraft by broadcasting the current time to all modules. The User-Interface module can perform three functions. It can simulate ground commands to the Planner module, inject changes to the Real-World module's comet model, and change the broadcast interval in the Simulation-Clock module. In the actual spacecraft, the Simulation-Clock module will be replaced by a real clock and the User-Interface module will be replaced by a module accepting ground commands.

## 4.2 Modules Description

The following is a brief description of each software module .

#### **4.2.1 Real-World Module**

The Real-World module contains a comet model and a spacecraft model. The comet model contains comet's rotation rate, rotation axis, shape, and surface features. The spacecraft model contains cameras and thrusters. The Real-World module simulates the actual comet and spacecraft movements. It can accept four types of commands. First, it can accept commands from the User-Interface model to inject changes to the comet model. Second, it can accept commands from the Planner module to control the narrow-field camera. Third, it can accept commands from the Navigation module to control spacecraft thrusters. Finally, it can accept commands from the Navigation module to take wide-field camera images for landmark measurements. The architecture uses the Real-World module to simulate the environment.

#### **4.2.2 Image-Identification Module**

The Image-Identification module determines the coordinates on the comet for each camera image. Its function is to inform other modules where on the comet each image is looking at. It queries the Knowledge-Database module's internal comet model and spacecraft position to help it identify images using comet landmarks. The Image-Identification module sends identified images to the Model-Acquisition, Science, AFAST, and Navigation modules for further processing.

#### **4.2.3 Model-Acquisition Module**

The Model-Acquisition module receives images from the Image-Identification module and updates the Knowledge-Database module's internal comet model. The Model-Acquisition module performs four functions. First, it determines comet's rotational rate and rotational axis. Second, it constructs comet's shape with a wire-frame model. Third, it constructs a texture map of the comet. Fourth, it stores locations of comet landmarks. The Model-Acquisition estimates the Real-World module's comet model.

#### **4.2.4 Science Module**

The Science module receives images from the Image-Identification module and compares them with past images of the same location to detect sub-pixel level movements. When the Science module detects a sub-pixel level movement, it informs the Knowledge-Database module about the center and size of the movement. The Science module can detect sub-pixel level movements like cracks occurring on the comet.

#### **4.2.5 AFAST Module**

The AFAST module receives images from the Image-Identification module and compares them with previous images to detect pixel-level movements. When the AFAST module detects a pixel level movement, it informs the Knowledge-Database module about the center and size of the movement. The AFAST module can detect pixel level movements like ejected particles coming out from the comet.

#### **4.2.6 Navigation Module**

The Navigation module performs both sensory-processing and behavior-generation functions. For sensory processing function, it receives images from the Image-Identification module to estimate spacecraft position. Then it generates a spacecraft position profile for the Knowledge-Database module. For behavior-generation function, the Navigation module produces plans to perform different types of maneuvers around the comet. It also informs the Knowledge-Database about the status of the current maneuver. The Navigation module queries the Knowledge-Database module for information about the comet to help it navigate. The Navigation module can generate plans for performing orbiting, close-flyby and escape maneuvers.

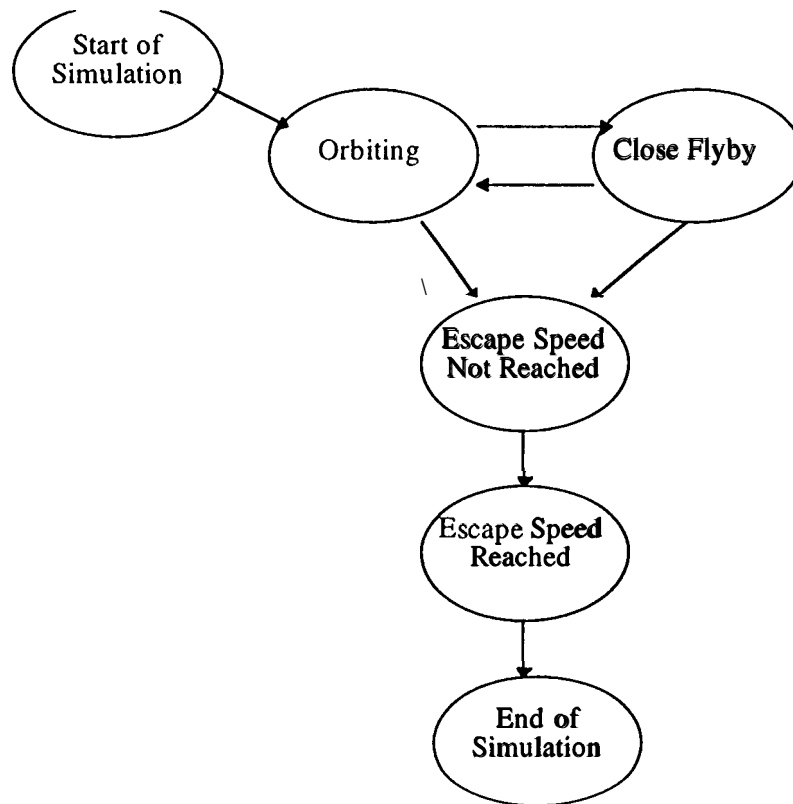
#### **4.2.7 Knowledge-Database Module**

The Knowledge-Database module stores and updates information about the Real-World module. The Model-Acquisition module updates comet's rotational rate, rotational axis, wire-frame model, texture map, and landmark locations. The Science and AFAST modules report locations of interesting targets on the comet. The Navigation module updates spacecraft position profile and maneuver status. The Real-World module updates current camera position. When the Science and AFAST modules discover a target, the Knowledge-Database module converts the target location on the image to the target location in the internal comet model. Afterwards, it informs the Planner module that a new target has been discovered. The Knowledge-Database provides one consistent view of the Real-World module for all the software modules.

#### **4.2.8 Planner Module**

The Planner module controls the spacecraft by generating high level commands. It can generate commands to control the narrow-field camera or perform different maneuvers around the comet. The Planner module can also generate commands in response to the ground commands from the User-Interface module. All commands are placed in an execution queue and sent to the Real-World and Navigation modules when appropriate

times come. The Planner module decides what actions the spacecraft should take in response to the information in the Knowledge-Database module.



**Figure 11 State Transition Diagram.**

The Planner module uses the state transition diagram, shown in figure 11, to control the spacecraft movement. The spacecraft is initially in the Orbiting state. The Planner module can find out the current state from the Knowledge-Database module and use different commands to change it. If the spacecraft is in the Orbiting state, the Planner module can issue a close flyby command to enter the Close-Flyby state. After a close flyby maneuver is performed, the spacecraft returns to the Orbiting state. When one of the sensory-processing module detects an emergency like a comet break-up or ejected particles moving toward the spacecraft, the Planner module can issue an escape to safety command to slowly move the spacecraft away from the comet. The simulation ends when the spacecraft reaches the escape speed. The Planner module can capture scientific events by issuing high level” commands to the spacecraft.

#### **4.2.9 Simulation-Clock Module**

The Simulation-Clock module simulates the clock on-board the spacecraft. It broadcasts the current time to **all** modules. It can also receive queries from other modules for the current time. The Simulation-Clock module performs discrete-time simulation by waiting for all the modules to finish processing the current time interval before broadcasting the next time. The User-Interface module can change the broadcast interval to speed up or slow down the simulation. The Simulation-Clock module represents the real clock onboard the spacecraft.

#### **4.2.10 User-Interface Module**

The User-Interface module's primary function is to allow the user to inject events and commands. The user can inject cracks on the comet, eject particles from the comet, or break the comet apart. The user can also request the Planner module to perform a close flyby, an orbiting, or an escape to safety maneuver. Finally, the User-Interface module can change the Simulation-Clock module's broadcast interval to speed up or slow down the simulation. The User-Interface module is used to support the simulation.

### **4.3 Evaluation**

The ASPIRE implementation has eleven good features. First, two coordinate systems are used to specify positions. All spacecraft, camera, and target positions are described in both the inertial frame and the comet-fixed frame coordinate systems. Some modules prefer positions described in the inertial frame while other modules prefer positions described in the comet-fixed frame. Having all position vectors described in both coordinate systems provides an easy solution for module communication.

Second, camera images are classified by how they are taken instead of when they are taken. Each image is tagged with a time stamp, a camera type, a spacecraft trajectory vector, a camera bore-sight vector, a camera orientation vector, and a sun position vector instead of a mapping number, an orbit number, and a picture number. These tags describes the exact viewing location on the comet for each image.

Third, interesting targets are identified by vectors in the internal comet model. The Science and AFAST modules detect movements on the images. Since images are classified by how they are taken, the Knowledge-Database module can convert the targets on the images to the target vectors in the internal comet model. Representing interesting targets by vectors in the internal comet model provides a simple interface for the Planner module to respond to new target discoveries.

Fourth, each interesting target contains an image patch of the target. The Real-World module can use these image patches to better track targets using the narrow-field camera. Image patches provide useful information about the discovered targets.

Fifth, the Planner module uses a state transition diagram to control the spacecraft. The Planner module uses high level commands to change the spacecraft state. State transition diagram allows easy implementation and modification of the Planner module.

Sixth, the camera resource is shared between several modules. The Model-Acquisition, Science, AFAST, and Navigation modules share one wide-field camera and one narrow-field camera. Two cameras are sufficient to meet the needs of these four modules.

Seventh, one internal comet model is used by all the software modules. An internal comet model that contains comet's rotational rate, rotational axis, wire-frame model, texture map, and landmark locations can meet all the software modules' needs. Having one internal comet model provides one consistent view of the Real-World module.

Eighth, all software module should be developed in one programming language and on one platform. If all software modules are developed in one language, then modules do not have to view each other as black boxes. If all software modules are developed on one platform, then compiling becomes easier. Even though we can combine software modules developed in different languages and on different platforms, it is better to have everything in one language and on one platform.

Ninth, message passing is used for module communication. Message passing is better than procedure call for module communication because it forces the programmer to define a simple and clear interface. Message passing provides good abstraction for each module.

Tenth, blocking messages are used for all the message communication. Blocking messages are better than non-blocking messages for module communication because they force the programmer to return from message handlers immediately. It is dangerous to mix blocking and non-blocking messages in an architecture. Using all blocking messages for module communication provides a good way to reason the architecture.

Eleventh, the number of messages and the data associated with each message should be kept to the minimum. Too many messages or large message data complicate the interface. Each message should provide a clear function. Fewer messages and smaller message data provide better interface.

#### **4.4 Issues**

There are three issues with this architecture implementation. First, should the Simulation-Clock module use discrete-time or real-time simulation. Discrete-time simulation eliminates many important timing issues but does allow time scale to change. Second, how should the architecture deal with computation power and communication bandwidth limits. Modules performing urgent tasks need higher priority when using these scarce resources. Third, how can we make distributed programming easier. Mechanisms are needed for

dealing with timing issues associated with message passing. These three issues are important for the implementation of the architecture.

#### **4.5 Future Work**

The architecture implementation can improve in two more areas, beside the five areas already mentioned in section **3.6**, First, the architecture implementation can simulate task tree execution in the Planner module to increase the confidence of the plans. Second, the architecture implementation can use a more sophisticated spacecraft model for simulation. These two areas and the five areas mentioned in section 3.6 are needed to make the implementation complete.



## 5 Conclusion

Autonomous spacecraft can use deliberative behaviors to achieve missions objectives and reactive behaviors to handle unexpected events. The ASPIRE architecture framework uses the deliberative path to perform deliberative behaviors and uses the three by-pass paths to perform reactive behaviors. The ASPIRE architecture framework looks like a **Subsumption** architecture with the four paths connecting the Sensor module with the Actuator module. The deliberative path can subsume the three by-pass paths when it has time to handle events. The three by-pass paths are used to provide faster response time, but less deliberation, between the Sensor module and the Actuator module. The ASPIRE project demonstrates that the deliberative path can support technology integration for a comet orbiter mission.

In conclusion, a good design philosophy for controlling autonomous spacecraft is a task-oriented architecture that emphasizes on performing deliberative behaviors with added mechanisms for performing reactive behaviors. A good way to design a control architecture for autonomous spacecraft is to start with a deliberative path for performing deliberative behaviors and then add by-pass paths to make the architecture more reactive. Finally, a good way to implement the deliberative path is to use one Knowledge-Database module to store all the information about the environment. The ASPIRE architecture framework is a good framework for controlling autonomous spacecraft.

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